

Financial Services

We are Ireland's leading specialist
Financial Services Partner team, delivering
business & compliance solutions.

Why us

We are specialist accountants with a niche-focus on three markets; **Financial Services**, **International Trade** and **Government**. Our focus on these markets, combined with our multidisciplinary and diverse team, is what makes us unique.

The Financial Services market continues to face significant regulatory, economic and financial challenges while the rise of FinTech presents new opportunities. Successfully managing these challenges while optimising opportunities requires specialist expertise and innovative solutions.

At EisnerAmper, we design business and compliance solutions to make your business better.

This is what we do everyday.

Specialist services

STATUTORY AUDIT SERVICES

- Services undertaken by industry focused audit teams that understand your business
- Risk-based audit approach provides required assurance and value added insight into the internal control environment
- Market leading audit methodology and software
- 1 of only 9 Public Interest Entity (PIE) auditors in Ireland

OTHER ASSURANCE & NON-ASSURANCE SERVICES

- Internal Audit
- ISAE 3402 assurance engagements
- Agreed Upon Procedures
- IFRS & FRS 102 Financial Statements
- Skilled Persons Reports
- Outsourced regulatory inspections

RISK & REGULATORY SERVICES

- Authorisation application support services
- Assessment of risk management frameworks
- Design & development of integrated risk & compliance frameworks
- Outsourced provision of Compliance and Risk Management functions
- PCF-12 Head of Compliance and PCF-14 Chief Risk Officer role holders

INTERIM RESOURCING SOLUTIONS

- Secondment of skilled, experienced resources, on a cost effective and flexible basis
- Provision of accounting and support services to the compliance, financial control, decision support and change functions of organisations during transition periods



Global capability

Through EisnerAmper Global, we leverage the **experience** and **expertise** of **200 partners** and **1,500 professionals** across **Ireland, Singapore, Cayman, London and the US**.

Membership of Allinial Global and Premier International Associates provides our clients with access to accountants in virtually every country worldwide.



200
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1,500
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Case studies

Alternative Investment Funds (AIFs)

- An Irish-domiciled AIF, listed on the Irish Stock Exchange, with in excess of 20 sub-funds holding a variety of equities and bonds, and with multiple share classes.
- Due to EU Audit Reform requirements, client was required to rotate their auditor.
- Provision of audit services in a timely, efficient and cost-effective manner to meet client filing requirements.

Banking

- Working as part of the bank's internal IFRS 9 loan impairment project team. Responsibilities included, inter alia:
 - Cleansing of data from the bank's IT system to provide valuers with the correct information for the purposes of valuing properties.
 - Application of agreed procedures to determine the valuation returned is reasonable.
 - Calculation of impairment gain or loss based on updated valuations received.

Investment Firm

- Irish MiFID firm that develops trading platform software to process orders and manage portfolios.
- Ongoing advisory & support services, monthly outsourcing services, payroll & preparation of financial statements and corporation tax compliance.
- Capital adequacy calculations & projections, monthly metrics reports and FINREP.

Reinsurance Firm

- Assisting a reinsurance start-up to structure and operationalise its compliance arrangements in order to demonstrate the establishment and implementation of adequate policies and procedures designed to comply with its regulatory obligations.
- Outsourcing of compliance function including responsibility for identifying, assessing, advising, monitoring and reporting on the company's compliance risk.



Key contacts

Your **specialist team** of regulatory and industry experienced professionals providing business and compliance solutions.



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