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1.0 Purpose

The purpose of this document is to set out EisnerAmper Ireland’s (EAI’s or the Firm’s) **Quality Policy** i.e. the Firm’s policy to design and deliver business and compliance solutions that consistently meet the requirements of customers and other stakeholders affected by our work.

2.0 Responsibility for policy

The Firm’s **QMS Management Team** is responsible for ensuring this policy is fit for purpose and is updated as appropriate on a timely basis. This Quality Policy is reviewed at least annually in order to ensure its continuing suitability. Copies of the Quality Policy are made available to all members of staff. This policy ensures that the requirements of all interested parties are clearly understood so that our services can be delivered in a timely and professional manner.

The Firm’s Managing Partner is ultimately responsible for the Firm’s quality policy.

3.0 Application of policy

The Firm’s Quality Policy is implemented through the ongoing management and maintenance of the Firm’s Quality Management System (“QMS”), supported by dedicated Risk and Quality processes, including inter alia internal compliance reviews, processes to address ad hoc risk matters and opportunities for improvement in addition to internal and external QMS audits.

The Firm’s Partners, Management team and staff (collectively the “Team”) are committed to meeting and exceeding clients’ expectations by implementing the principles of ISO 9001:2015 and by continual improvement, in all of the Firm’s activities.

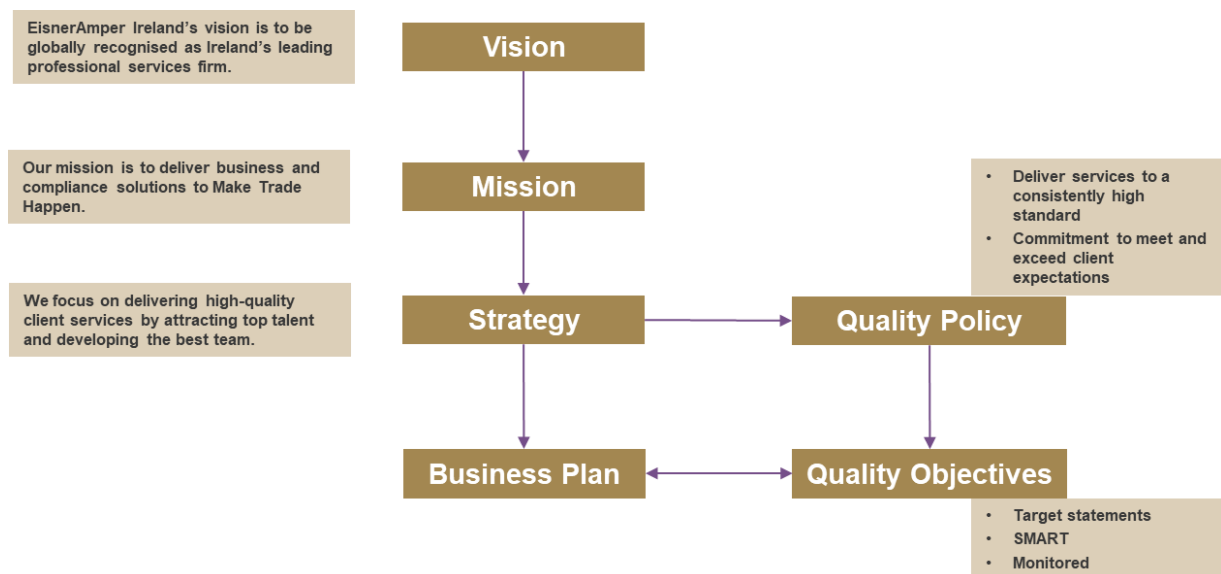


Figure 1 – Application of quality policy through the QMS

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We implement the ISO 9001: 2015 principles via activities and structures including:

3.1 Firm's Vision and Mission

EisnerAmper Ireland's vision is to be globally recognised as Ireland's leading professional services firm. Our mission is to design and deliver business and compliance solutions to Make Trade Happen.

3.2 Firm's Strategy

We focus on delivering high-quality client services by attracting top talent and developing the best team. We execute this focus via our Global Niche Strategy and our local, EisnerAmper Ireland, strategy.

Our Global Niche Strategy is to provide innovative solutions and specialist services to specific markets in key global financial services and international trading hubs.

Our EisnerAmper Ireland strategy has four elements: to offer specialist services to Ireland's Financial Services, International Business and Government sectors; to position our firm as a key driver and "case study" founding member of EisnerAmper Global in order to add value to, and benefit from, EisnerAmper Global's niche strategy; to incorporate design thinking and technology as a fundamental part of how we "Make Trade Happen"; and to leverage the symbiotic nature of the first three elements of our strategy in order to become the natural and complementary specialist alternative to the existing large advisors to global markets.

3.3 Elements of Firm's DNA

3.3.1 Global connectivity

We bring global connectivity and perspective to how we work. We are a founding member of EisnerAmper Global, a highly collegiate specialist network of independent member firms operating across key global financial services and international trading hubs.

Whether working with established companies expanding existing global operations or start-ups considering expansion into new markets, we provide the ideas and support necessary to make international trade happen.

3.3.2 Niche market focus

We are specialist accountants with a niche focus on three markets: Financial Services, International Trade and Government.

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3.3.3 Specialist services

We are experts in the provision of Audit, Tax, Advisory, Risk & Regulatory and Outsourcing services. We deliver these services to clients including banks, asset managers, regulators, government, established international companies and start-ups doing business in and through Ireland.

3.3.4 Ethical High Performance

At EisnerAmper Ireland, we seek to create an environment in which quality is valued, invested in, and rewarded and we foster a culture where our values and standards of behaviour are integral to how we do business.

In delivering an exceptional quality of service to our clients, the values that we subscribe to are “can-do, collegiate, connected, commercial, candid and caring.”

The fundamental principles set out in Chartered Accountants Ireland’s Code of Ethics establish the standards of behaviour expected in the Firm. We are guided not only by the terms of these fundamental principles but also strive to operate by the spirit of the code.

These fundamental principles are as follows:

- **Integrity** – to be straightforward and honest in all professional and business relationships.
- **Objectivity** – not to compromise professional or business judgments because of bias, conflict of interest or undue influence of others.
- **Professional Competence and Due Care** – to:
 - Attain and maintain professional knowledge and skill at the level required to ensure that a client or employing organisation receives competent professional service, based on current technical and professional standards and relevant legislation; and
 - Act diligently and in accordance with applicable technical and professional standards.
- **Confidentiality** – to respect the confidentiality of information acquired as a result of professional and business relationships.
- **Professional Behaviour** – to comply with relevant laws and regulations and avoid any conduct that we know or should know might discredit the profession.

3.3.5 Design Thinking

Design thinking is key to our business model and how we serve our clients. We combine our subject matter expertise with best in class technology platforms to deliver market-leading solutions to the financial, regulatory and professional services sectors.

Signed:

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Alastair MacDonald, Managing Partner

Reviewed on (Date):

26/11/2020
